

## Introductory sub-table (I)(a)

Name of the Company: ARAVALI SECURITIES &amp; FINANCE LIMITED

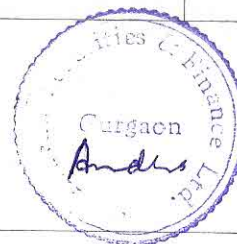
Scrip Code, Name of the scrip, class of security: 512344

Quarter ended: 30.09.2014

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the Company, assuming full conversion of warrants and convertible securities</b>	<b>15153850</b>		

For Aravali Securities &amp; Finance Limited

*Anuradha Rawat*  
Anuradha Rawat  
Company Secretary & Compliance Officer



**Statement Showing Shareholding Pattern**

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage
					(VI)	(VII)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	3	4759352	4759352	31.41	31.41	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)		0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(1)</b>	<b>3</b>	<b>4759352</b>	<b>4759352</b>	<b>31.41</b>	<b>31.41</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Foreign</b>							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	1	1372511	1372511	9.06	9.06	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(2)</b>	<b>1</b>	<b>1372511</b>	<b>1372511</b>	<b>9.06</b>	<b>9.06</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>4</b>	<b>6131863</b>	<b>6131863</b>	<b>40.46</b>	<b>40.46</b>	<b>0</b>	<b>0.00</b>

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<b>(B)</b>	<b>Public shareholding</b>								
<b>1</b>	<b>Institutions</b>								
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00	
(b)	Financial Institutions / Banks	9	13205	8705	0.09	0.09	0	0.00	
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00	
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00	
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00	
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00	
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00	
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	
(i)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00	
	<b>Sub-Total (B)(1)</b>	<b>9</b>	<b>13205</b>	<b>8705</b>	<b>0.09</b>	<b>0.09</b>	<b>0</b>	<b>0.00</b>	
<b>(B)</b>									
<b>2</b>	<b>Non-institutions</b>								
(a)	Bodies Corporate	188	1677333	1654637	11.07	11.07	0	0.00	
(b)	Individuals								
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	16025	4243873	1907117	28.01	28.01	0	0.00	
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	49	2887847	2734632	19.06	19.06	0	0.00	
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	
(d)	Any Other (specify)				0.00		0	0.00	
(d-i)	NRI ( Rept & Non -Rept )	19	37869	37869	0.25	0.25	0	0.00	
(d-ii)	Hindu Undivided Family	87	159782	159782	1.05	1.05	0	0.00	
(d-iii)	Clearing Members	4	2078	2078	0.01	0.01	0	0.00	
	<b>Sub-Total (B)(2)</b>	<b>16372</b>	<b>9008782</b>	<b>6496115</b>	<b>59.45</b>	<b>59.45</b>	<b>0</b>	<b>0.00</b>	
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>16381</b>	<b>9021987</b>	<b>6504820</b>	<b>59.54</b>	<b>59.54</b>	<b>0</b>	<b>0.00</b>	
								0.00	
	<b>TOTAL (A)+(B)</b>	<b>16385</b>	<b>15153850</b>	<b>12636683</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>								
1	Promoter and Promoter Group	0	0	0	0.00	0.00	0	0.00	
2	Public	0	0	0	0.00	0.00	0	0.00	
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>16385</b>	<b>15153850</b>	<b>12636683</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	

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**(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) +(B) +(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a )	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Devashish Poddar ( HUF )	400000	2.64	0	0.00	0.00	0	0	0	0	0
2	Anupam Poddar	652260	4.30	0	0.00	0.00	0	0	0	0	0
3	Devashish Poddar	3707092	24.46	0	0.00	0.00	0	0	0	0	0
4	C.L.Poddar	1372511	9.06	0	0.00	0.00	0	0	0	0	0
<b>TOTAL</b>		<b>6131863</b>	<b>40.46</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

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**(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	RITA SACHDEVA	748000	4.94	0	0	0	0	0
2	BISHWANATH TRADERS AND INVESTMENT LTD.	515479	3.40	0	0	0	0	0
3	PAULASTYA SACHDEV	280000	1.85	0	0	0	0	0
4	ANAND KUMAR KESARI	265721	1.75	0	0	0	0	0
5	LE ROY SECURITIES (P) LTD.	225000	1.48	0	0	0	0	0
6	NUPUR SHARMA	200000	1.32	0	0	0	0	0
7	INDER MOHAN SHARMA	200000	1.32	0	0	0	0	0
<b>TOTAL</b>		<b>2434200</b>	<b>16.06</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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<b>(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company</b>								
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL	0	0.00	0	0	0	0	0
2	NIL	0	0.00	0	0	0	0	0
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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(I)(d)			
<u>Statement showing details of locked-in shares</u>			
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0.00
2	NIL	0	0.00
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>

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<b>(II)(a) Statement showing details of Depository Receipts (DRs)</b>				
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
2	NIL	0	0	0.00
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0.00</b>

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(ii)(b) <u>Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares</u>				
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)
1	NIL	0	0	0.00
2	NIL	0	0	0.00
TOTAL		0	0	0.00

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