

General information about company

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|--|--------------------------------------|
| Scrip code | 512344 |
| Name of the company | Aravali Securities & Finance Limited |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2016 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|-----------------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 3 | 6131863 | | | 6131863 | 40.46 | 6131863 | | 6131863 | 40.46 |
| (B) | Public | 16060 | 9021987 | | | 9021987 | 59.54 | 9021987 | | 9021987 | 59.54 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 16063 | 15153850 | | | 15153850 | 100 | 15153850 | | 15153850 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 40.46 | | | | | 6131863 |
| (B) | Public | | | | 59.54 | | | | | 6529374 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 12661237 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|---|--|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 2 | 1052260 | | | 1052260 | 6.94 | 1052260 | | 1052260 | 6.94 |
| Sub-Total (A)(1) | | 2 | 1052260 | | | 1052260 | 6.94 | 1052260 | | 1052260 | 6.94 |
| (2) | Foreign | | | | | | | | | | |
| (a) | Individuals (NonResident Individuals/ Foreign Individuals) | 1 | 5079603 | | | 5079603 | 33.52 | 5079603 | | 5079603 | 33.52 |
| Sub-Total (A)(2) | | 1 | 5079603 | | | 5079603 | 33.52 | 5079603 | | 5079603 | 33.52 |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 3 | 6131863 | | | 6131863 | 40.46 | 6131863 | | 6131863 | 40.46 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (f) | Financial Institutions/ Banks | 8 | 13205 | | | 13205 | 0.09 | 13205 | | 13205 | 0.09 |

| | | | | | | | | | | | |
|--|---|-------|----------|--|--|----------|-------|----------|--|----------|-------|
| Sub-Total (B)(1) | | 8 | 13205 | | | 13205 | 0.09 | 13205 | | 13205 | 0.09 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 15761 | 4592191 | | | 4592191 | 30.3 | 4592191 | | 4592191 | 30.3 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 24 | 2507835 | | | 2507835 | 16.55 | 2507835 | | 2507835 | 16.55 |
| (e) | Any Other (specify) | 267 | 1908756 | | | 1908756 | 12.6 | 1908756 | | 1908756 | 12.6 |
| Sub-Total (B)(3) | | 16052 | 9008782 | | | 9008782 | 59.45 | 9008782 | | 9008782 | 59.45 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 16060 | 9021987 | | | 9021987 | 59.54 | 9021987 | | 9021987 | 59.54 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 16063 | 15153850 | | | 15153850 | 100 | 15153850 | | 15153850 | 100 |
| Total (A+B+C) | | 16063 | 15153850 | | | 15153850 | 100 | 15153850 | | 15153850 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|---|--|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 6.94 | | | | | 1052260 |
| Sub-Total (A)(1) | | | | 6.94 | | | | | 1052260 |
| (2) | Foreign | | | | | | | | |
| (a) | | | | 33.52 | | | | | 5079603 |
| Sub-Total (A)(2) | | | | 33.52 | | | | | 5079603 |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | | 40.46 | | | | | 6131863 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (f) | | | | 0.09 | | | | | 8705 |
| Sub-Total (B)(1) | | | | 0.09 | | | | | 8705 |

| | | | | | | | | | |
|---|---|--|--|-------|--|--|--|--|----------|
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 30.3 | | | | | 2238124 |
| (a(ii)) | | | | 16.55 | | | | | 2396485 |
| (e) | | | | 12.6 | | | | | 1886060 |
| Sub-Total (B)(3) | | | | 59.45 | | | | | 6520669 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 59.54 | | | | | 6529374 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 12661237 |
| Total (A+B+C) | | | | 100 | | | | | 12661237 |

Individuals/Hindu undivided Family

| Searial No. | 1 | 2 | |
|---|------------------|---------------|---------------------------------------|
| Name of the Shareholders (I) | Devashish Poddar | Anupam Poddar | Click here to go back |
| PAN (II) | AABHD8589C | AAAPP1130A | Total |
| No. of fully paid up equity shares held (IV) | 400000 | 652260 | 1052260 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 400000 | 652260 | 1052260 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.64 | 4.3 | 6.94 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg:X | 400000 | 652260 | 1052260 |
| Class eg:y | | | |
| Total | 400000 | 652260 | 1052260 |
| Total as a % of | | | |

| | | | |
|--|------|-----|------|
| Total Voting rights | 2.64 | 4.3 | 6.94 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 2.64 | 4.3 | 6.94 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |

| | | | |
|---|--------|--------|---------|
| Number of equity shares held in dematerialized form (XIV) | 400000 | 652260 | 1052260 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |

Individuals (NonResident Individuals/ Foreign Individuals)

| | | |
|---|----------------------|---------------------------------------|
| Searial No. | 1 | |
| Name of the Shareholders (I) | Chandra Lekha Poddar | Click here to go back |
| PAN (II) | AFPPP6447Q | Total |
| No. of fully paid up equity shares held (IV) | 5079603 | 5079603 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 5079603 | 5079603 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 33.52 | 33.52 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 5079603 | 5079603 |
| Class eg:y | | |
| Total | 5079603 | 5079603 |
| Total as a % of | | |

| | | |
|--|-------|-------|
| Total Voting rights | 33.52 | 33.52 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 33.52 | 33.52 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |

| | | |
|---|---------|---------|
| Number of equity shares held in dematerialized form (XIV) | 5079603 | 5079603 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| Searial No. | 1 | 2 | 3 | 4 | |
|---|--------------|--------------------|-------------------|--------------|-----------------------|
| Name of the Shareholders (I) | Rita Sachdev | Anand Kumar Kesari | Paulastya Sachdev | Nupur Sharma | Click here to go back |
| PAN (II) | AAWPS0817K | AAEPK0116F | AQHPS8082R | APNPS9189N | Total |
| No. of fully paid up equity shares held (IV) | 748000 | 288529 | 280000 | 200000 | 1516529 |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 748000 | 288529 | 280000 | 200000 | 1516529 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.94 | 1.9 | 1.85 | 1.32 | 10.01 |
| Number of Voting Rights held in each class of securities (IX) | | | | | |
| Class eg: X | 748000 | 288529 | 280000 | 200000 | 1516529 |
| Class eg:y | | | | | |
| Total | 748000 | 288529 | 280000 | 200000 | 1516529 |
| Total as a % of | | | | | |

| | | | | | |
|--|--------|--------|--------|--------|---------|
| Total Voting rights | 4.94 | 1.9 | 1.85 | 1.32 | 10.01 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 4.94 | 1.9 | 1.85 | 1.32 | 10.01 |
| Number of Locked in shares (XII) | | | | | |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 748000 | 288529 | 280000 | 200000 | 1516529 |
| Reason for not providing PAN | | | | | |

| | | | | | | |
|------------------------------|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | |
|------------------------------|--|--|--|--|--|--|

Any Other (specify)

| Searial No. | 1 | 2 | |
|---|--|--|-----------------------|
| Category | Bodies Corporate | Bodies Corporate | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | |
| Name of the Shareholders (I) | Bishwanath Traders and Investment Ltd. | Le Roy Securities Private Ltd. | Click here to go back |
| PAN (II) | AAACB0015B | AAACL7674G | Total |
| No. of the Shareholders (I) | 1 | 1 | 0 |
| No. of fully paid up equity shares held (IV) | 515479 | 439000 | 0 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 515479 | 439000 | 0 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.4 | 2.9 | 0 |

| Number of Voting Rights held in each class of securities (IX) | | | |
|--|--------|--------|---|
| Class eg: X | 515479 | 439000 | 0 |
| Class eg:y | | | |
| Total | 515479 | 439000 | 0 |
| Total as a % of Total Voting rights | 3.4 | 2.9 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.4 | 2.9 | 0 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |

| | | | |
|---|--------|--------|---|
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 515479 | 439000 | 0 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |

