

### General information about company

Scrip code	512344
NSE Symbol	NA
MSEI Symbol	NA
ISIN	INE068C01015
Name of the company	Aravali Securities and Finance Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No





(C2)	Shares held by Employee Trusts									
	Total				100					12692975

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg: y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	1052260			1052260	6.94	1052260		1052260	6.94
Sub-Total (A)(1)		2	1052260			1052260	6.94	1052260		1052260	6.94
(2)	Foreign										
(a)	Individuals (NonResident Individuals/ Foreign Individuals)	1	5079603			5079603	33.52	5079603		5079603	33.52
Sub-Total (A)(2)		1	5079603			5079603	33.52	5079603		5079603	33.52
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		3	6131863			6131863	40.46	6131863		6131863	40.46

B

Table III - Statement showing shareholding pattern of the Public shareholder

(1)	Institutions											
(f)	Financial Institutions/ Banks	8	13205			13205	0.09		13205		13205	0.09
Sub-Total (B)(1)		8	13205			13205	0.09		13205		13205	0.09
(3)	Non-institutions											
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	15639	4621378			4621378	30.5		4621378		4621378	30.5
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	23	1465169			1465169	9.67		1465169		1465169	9.67
(b)	NBFCs registered with RBI	1	300			300	0		300		300	0
(e)	Any Other (specify)	259	2921935			2921935	19.28		2921935		2921935	19.28
Sub-Total (B)(3)		15922	9008782			9008782	59.45		9008782		9008782	59.45
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		15930	9021987			9021987	59.54		9021987		9021987	59.54
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total ( A+B+C2 )		15933	15153850			15153850	100		15153850		15153850	100
Total (A+B+C)		15933	15153850			15153850	100		15153850		15153850	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				6.94					1052260
Sub-Total (A)(1)				6.94					1052260
(2)	Foreign								
(a)				33.52					5079603
Sub-Total (A)(2)				33.52					5079603
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				40.46					6131863
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0.09					8705
Sub-Total (B)(1)				0.09					8705

( 3 )	Non-institutions								
(a(i))				30.5					2299049
(a(ii))				9.67					1353819
(b)				0					300
(e)				19.28					2899239
Sub-Total (B)(3)				59.45					6552407
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				59.54					6561112
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					12692975
Total (A+B+C )				100					12692975

<b>Individuals/Hindu undivided Family</b>			
Searial No.	1	2	
Name of the Shareholders (I)	ANUPAM PODDAR	DEVASHISHPODDAR	<a href="#">Click here to go back</a>
PAN (II)	AAAPP1130A	AABHD8589C	Total
No. of fully paid up equity shares held (IV)	652260	400000	1052260
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	652260	400000	1052260

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.3	2.64	6.94
Number of Voting Rights held in each class of securities (IX)			
Class eg X	652260	400000	1052260
Class eg y			
Total	652260	400000	1052260
Total as a % of Total Votingrights	4.3	2.64	6.94
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	4.3	2.64	6.94
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	652260	400000	1052260
Reason for not providing PAN			



Reason for not providing PAN			
Shareholder type			

<b>Individuals (NonResident Individuals/ Foreign Individuals)</b>		
Serial No.	1	
Name of the Shareholders (I)	CHANDRALEKHA PODDAR	<a href="#">Click here to go back</a>
PAN (II)	AFPPP6447Q	Total
No. of fully paid up equity shares held (IV)	5079603	5079603
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+(VI)	5079603	5079603
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	33.52	33.52
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	5079603	5079603
Class eg: y		
Total	5079603	5079603
Total as a % of Total Votingrights	33.52	33.52
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	33.52	33.52
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5079603	5079603
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type		

<b>Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.</b>		
Serial No.	1	
Name of the Shareholders (I)	PUBLIC HOLDING	<a href="#">Click here to go back</a>
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	4621378	4621378

No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4621378	4621378
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	30.5	30.5
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4621378	4621378
Class eg: y		
Total	4621378	4621378
Total as a % of Total Voting rights	30.5	30.5
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	30.5	30.5
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2299049	2299049
Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	

<b>Text Block</b>	
Textual Information(1)	PUBLIC HOLDING

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs.  
2 lakhs.**

Serial No.	1	2	
Name of the Shareholders (I)	ANAND KUMAR KESARI	NUPUR SHARMA	<a href="#">Click here to go back</a>
PAN (II)	AAEPK0116F	APNPS9189N	Total
No. of fully paid up equity shares held (IV)	314011	200000	514011
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	314011	200000	514011
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.07	1.32	3.39
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg. X	314011	200000	514011
Class eg. y			

Total	314011	200000	514011
Total as a % of Total Voting rights	2.07	1.32	3.39
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.07	1.32	3.39
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			

Number of equity shares held in dematerialized form (XIV)	314011	200000	514011
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Non-Resident Indian (NRI)	HUF	Trusts
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category	Category
Name of the Shareholders (I)	PACE STOCK BROKING SERVICES PVT LTD	BISHWANATH TRADERS AND INVESTMENT LTD	LE ROY SECURITIES P LTD				
PAN (II)	AACCP8410G	AAACB0015B	AAACL7674G				
No. of the Shareholders (I)	1	1	1	145	16	94	1
No. of fully paid up equity shares held (IV)	1030005	515479	394000	652392	12666	315743	1650

No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1030005	515479	394000	652392	12666	315743	1650
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.8	3.4	2.6	4.31	0.08	2.08	0.01
Number of Voting Rights held in each class of securities (IX)							
Class eg. X	1030005	515479	394000	652392	12666	315743	1650
Class eg. y							
Total	1030005	515479	394000	652392	12666	315743	1650
Total as a % of Total Voting rights	6.8	3.4	2.6	4.31	0.08	2.08	0.01
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding							



Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.8	3.4	2.6	4.31	0.08	2.08	0.01
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1030005	515479	394000	629696	12666	315743	1650
Reason for not providing PAN							
Reason for not providing PAN							

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### Any Other (specify)

Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of the Shareholders (I)	256
No. of fully paid up equity shares held (IV)	982451
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	982451
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.48
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	982451
Class eg: y	
Total	982451
Total as a % of Total Votingrights	6.48
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.48
Number of Locked in shares (XII)	
No. (a)	

As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	959755
Reason for not providing PAN	
Reason for not providing PAN	