

**General information about company**

Scrip code	512344
Name of the company	Aravali Securities and Finance Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	3	6131863			6131863	40.46	6131863		6131863	40.46
(B)	Public	16164	9021987			9021987	59.54	9021987		9021987	59.54
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>16167</b>	<b>15153850</b>			<b>15153850</b>	<b>100</b>	<b>15153850</b>		<b>15153850</b>	<b>100</b>

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				40.46					6131863
(B)	Public				59.54					6548052
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					12679915

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	1052260			1052260	6.94	1052260		1052260	6.94
Sub-Total (A)(1)		2	1052260			1052260	6.94	1052260		1052260	6.94
(2)	Foreign										
(a)	Individuals (NonResident Individuals/ Foreign Individuals)	1	5079603			5079603	33.52	5079603		5079603	33.52
Sub-Total (A)(2)		1	5079603			5079603	33.52	5079603		5079603	33.52
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	6131863			6131863	40.46	6131863		6131863	40.46
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	9	13205			13205	0.09	13205		13205	0.09
Sub-Total (B)(1)		9	13205			13205	0.09	13205		13205	0.09
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	15856	4574012			4574012	30.18	4574012		4574012	30.18
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	25	2479166			2479166	16.36	2479166		2479166	16.36
(e)	Any Other (specify)	274	1955604			1955604	12.9	1955604		1955604	12.9
Sub-Total (B)(3)		16155	9008782			9008782	59.45	9008782		9008782	59.45
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		16164	9021987			9021987	59.54	9021987		9021987	59.54
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		16167	15153850			15153850	100	15153850		15153850	100

Total (A+B+C)		16167	15153850			15153850	100	15153850		15153850	100
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**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				6.94					1052260
Sub-Total (A) (1)				6.94					1052260
(2)	Foreign								
(a)				33.52					5079603
Sub-Total (A) (2)				33.52					5079603
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				40.46					6131863
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0.09					8705
Sub-Total (B) (1)				0.09					8705
(3)	Non-institutions								
(a(i))				30.18					2238623
(a(ii))				16.36					2367816
(e)				12.9					1932908
Sub-Total (B) (3)				59.45					6539347
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				59.54					6548052
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					12679915
Total (A+B+C)				100					12679915

<b>Individuals/Hindu undivided Family</b>			
Serial No.	1	2	
Name of the Shareholders (I)	ANUPAM PODDAR	DEVASHISH PODDAR	<a href="#">Click here to go back</a>
PAN (II)	AAAPP1130A	AABHD8589C	Total
No. of fully paid up equity shares held (IV)	652260	400000	1052260
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	652260	400000	1052260
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.3	2.64	6.94
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg:X	652260	400000	1052260
Class eg:y			
Total	652260	400000	1052260
Total as a % of Total Voting rights	4.3	2.64	6.94
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	4.3	2.64	6.94
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>			
No. (a)			
As a % of total Shares held (b)			



Number of equity shares held in dematerialized form (XIV)	652260	400000	1052260
Reason for not providing PAN			
Reason for not providing PAN			

<b>Individuals (NonResident Individuals/ Foreign Individuals)</b>		
Serial No.	1	
Name of the Shareholders (I)	CHANDRALEKHA PODDAR	<a href="#">Click here to go back</a>
PAN (II)	AFPPP6447Q	Total
No. of fully paid up equity shares held (IV)	5079603	5079603
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5079603	5079603
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	33.52	33.52
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	5079603	5079603
Class eg:y		
Total	5079603	5079603
Total as a % of Total Voting rights	33.52	33.52
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	33.52	33.52
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>		
No. (a)		
As a % of total Shares held (b)		

Number of equity shares held in dematerialized form (XIV)	5079603	5079603
Reason for not providing PAN		
Reason for not providing PAN		

<b>Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.</b>		
Serial No.	1	
Name of the Shareholders (I)	PUBLIC HOLDING	<a href="#">Click here to go back</a>
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	4538710	4538710
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4538710	4538710
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	29.95	29.95
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	4538710	4538710
Class eg:y		
Total	4538710	4538710
Total as a % of Total Voting rights	29.95	29.95
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	29.95	29.95
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2196521	2196521
<b>Reason for not providing PAN</b>		

Reason for not providing PAN	Textual Information(1)	
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**Text Block**

Textual Information(1)

PUBLIC HOLDING IN BULK

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	RITA SACHDEV	ANAND KUMAR KESARI	PAULASTYA SACHDEV	NUPUR SHARMA	<a href="#">Click here to go back</a>
PAN (II)	AAWPS0817K	AAEPK0116F	AQHPS8082R	APNPS9189N	Total
No. of fully paid up equity shares held (IV)	748000	316120	280000	200000	1544120
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	748000	316120	280000	200000	1544120
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.94	2.09	1.85	1.32	10.19
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	748000	316120	280000	200000	1544120
Class eg:y					
Total	748000	316120	280000	200000	1544120
Total as a % of Total Voting rights	4.94	2.09	1.85	1.32	10.19
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.94	2.09	1.85	1.32	10.19
<b>Number of Locked in shares (XII)</b>					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	748000	316120	280000	200000	1544120
<b>Reason for not providing PAN</b>					

Reason for not providing PAN					
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Any Other (specify)						
Serial No.	1	2	3	4	5	
Category	Bodies Corporate	Bodies Corporate	NRI	HUF	Trusts	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category	
Name of the Shareholders (I)	BISHWANATH TRADERS AND INVESTMENT LTD	LE ROY SECURITIES P LTD				Click here to go back
PAN (II)	AAACB0015B	AAACL7674G				Total
No. of the Shareholders (I)	1	1	16	94	1	111
No. of fully paid up equity shares held (IV)	515479	380000	18719	315393	1650	335762
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	515479	380000	18719	315393	1650	335762
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.4	2.51	0.12	2.08	0.01	2.21
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	515479	380000	18719	315393	1650	335762
Class eg:y						
Total	515479	380000	18719	315393	1650	335762
Total as a % of Total Voting rights	3.4	2.51	0.12	2.08	0.01	2.21
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.4	2.51	0.12	2.08	0.01	2.21
Number of Locked in shares (XII)						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	515479	380000	18719	315393	1650	335762
Reason for not providing PAN						
Reason for not providing PAN						

