

## Introductory sub-table (I)(a)

Name of the Company: ARAVALI SECURITIES & FINANCE LIMITED  
 Scrip Code, Name of the scrip, class of security: 512344  
 Quarter ended: 31.12.2012

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>			
		15153850	

For Aravali Securities &amp; Finance Limited



Gayatri Khatri

Company Secretary &amp; Compliance Officer



**Statement Showing Shareholding Pattern**

Table (I)(a)

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of Shares Pledged or otherwise encumbered	As a percentage (IX) = (VIII)/(IV)*100
					As a percentage (VI)	As a percentage of (VII)		
(I)	(II)	(III)	(IV)	(V)			(VIII)	
(A)	Shareholding of Promoter and Promoter Group <sup>2</sup>							
1	Indian							
(a)	Individuals/ Hindu Undivided Family							
(b)	Central Government/ State Government(s)	3	4759352	4759352	31.41	31.41	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)								
	Sub Total(A)(1)	3	4759352	4759352	31.41	31.41	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)							
b	Bodies Corporate	1	1372511	1372511	9.06	9.06	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
e-i								
	Sub Total(A)(2)	1	1372511	1372511	9.06	9.06	0	0.00
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	4	6131863	6131863	40.46	40.46	0	0.00

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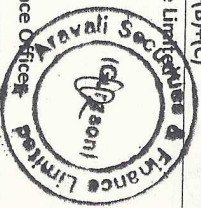


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(B) Public shareholding		1		2		3		4		5		6		7		8		9		10	
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i-ii)	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i-ii)	(a)	(b)
1	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a)	Mutual Funds/ UTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Financial Institutions / Banks	9	13205	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Central Government/ State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(f)	Foreign Institutional Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(g)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(h)	Qualified Foreign Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i-ii)	Sub-Total (B)(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)	9	13205	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
B 2	Non-institutions																				
(a)	Bodies Corporate	195	1542630	1519934	10.18	10.18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Individuals	16125	4270716	1906385	28.18	28.18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
I	Individuals - i. Individual shareholders holding nominal share capital up to Rs 1 lakh	16125	4270716	1906385	28.18	28.18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	48	2907879	2730664	19.19	19.19	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (specify)	0	0	0	0.00	0.00	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d-i)	NRI (Repat & Non -Repat )	17	27750	27750	0.18	0.18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d-ii)	Hindu Undivided Family	87	259807	259807	1.71	1.71	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d-iii)	Clearing Members	0	0	0	0.00	0.00	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)	16472	9008782	6444540	59.45	59.45	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(B)	Total Public Shareholding (B) = (B)(1)+(B)(2)	16481	9021987	6453245	59.54	59.54	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	TOTAL (A)+(B)	16485	15153850	12585108	100.00	100.00	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C)	Shares held by Custodians and against which Depository Receipts have been issued																				
1	Promoter and Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (C)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	16485	15153850	12585108	100.00	100.00	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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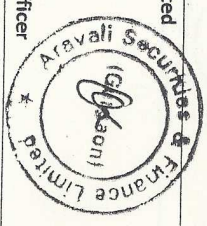
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(I)(b)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"	Sr. No.	Name of the shareholder	Details of Shares held			Encumbered shares (*)			Details of warrants			Details of convertible securities			Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI)=(V)/(III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	(XII)			
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)				
1	Devashish Poddar (HUF)	400000	2.64	0	0.00	0.00	0	0	0	0	0	0				
2	Anupam Poddar	652260	4.30	0	0.00	0.00	0	0	0	0	0	0				
3	Devashish Poddar	3707092	24.46	0	0.00	0.00	0	0	0	0	0	0				
4	C.L.Poddar	1372511	9.06	0	0.00	0.00	0	0	0	0	0	0				
	TOTAL	6131863	40.46	0	0.00	0.00	0	0	0	0	0	0				

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

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(1)(c)(i)

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	RITA SACHDEVA	748000	4.94	0	0	0	0	0
2	BISHWANATH TRADERS AND INVESTMENT LTD.	515479	3.40	0	0	0	0	0
3	PAULASTYA SACHDEV	280000	1.85	0	0	0	0	0
4	ANAND KUMAR KESARI	212992	1.41	0	0	0	0	0
5	NUPUR SHARMA	200000	1.32	0	0	0	0	0
6	INDER MOHAN SHARMA	200000	1.32	0	0	0	0	0
TOTAL		2156471	14.23	0	0	0	0	0

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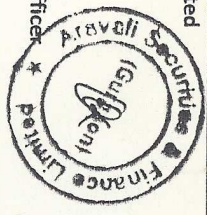
(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL	0	0.00	0	0	0	0	0
2	NIL	0	0.00	0	0	0	0	0
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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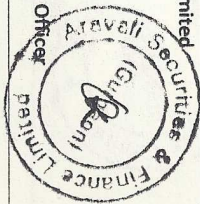
**Statement showing details of locked-in shares**

(i)(d)	Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above}
	1	NIL	0	0.00
	2	NIL	0	0.00
	<b>TOTAL</b>		<b>0</b>	<b>0.00</b>

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(ii)(a)

Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)
1	NIL	0	0	0.00
2	NIL	0	0	0.00
TOTAL				0.00

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(11)(b)	Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares			
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)
1	NIL		0	0.00
2	NIL		0	0.00
<b>TOTAL</b>			<b>0</b>	<b>0.00</b>

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*Gayatri Khatri*

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