

Quarterly Compliance Report on Corporate Governance

Name of the Company : Aravali Securities & Finance Limited
 Quarter ending on : 30th September, 2015

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
II. Board of Directors	49(II)		-
(A)Composition of Board	49(II)(A)	Yes	-
(B)Independent Directors	49(II)(B)	Yes	-
(C)Non-executive Directors' Compensation & Disclosures	49(II)(C)	Yes	-
(D)Other Provisions as to Board and Committees	49(II)(D)	Yes	-
(E)Code of Conduct	49(II)(E)	Yes	-
(F)Whistle Blower Policy	49(II)(F)	Yes	-
III. Audit Committee	49(III)		-
(A)Qualified & Independent Audit Committee	49(III)(A)	Yes	-
(B)Meeting of Audit Committee	49(III)(B)	Yes	-
(C)Powers of Audit Committee	49(III)(C)	Yes	-
(D)Role of Audit Committee	49(III)(D)	Yes	-
(E)Review of Information by Audit Committee	49(III)(E)	Yes	-
IV. Nomination and Remuneration Committee	49(IV)	Yes	-
V. Subsidiary Companies	49(V)	N.A.	-
VI. Risk Management	49(VI)	Yes	-
VII. Related Party Transactions	49(VII)	N.A.	-
VIII. Disclosures	49(VIII)		-
(A)Related Party Transactions	49(VIII)(A)	Yes	Disclosed in the Annual Report (2014-15)
(B)Disclosure of Accounting Treatment	49(VIII)(B)	Yes	Disclosed in the Annual Report (2014-15)
(C)Remuneration of Directors	49(VIII)(C)	Yes	Disclosed in the Annual Report (2014-15)
(D)Management	49(VIII)(D)	Yes	Disclosed in the Annual Report (2014-15)
(E)Shareholders	49(VIII)(E)	Yes	Disclosed in the Annual Report (2014-15)
(F)Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	49(VIII)(F)	N.A.	-
IX. CEO/CFO Certification	49(IX)	Yes	-
X. Report on Corporate Governance	49(X)	Yes	Disclosed in the Annual Report (2014-15)
XI. Compliance	49(XI)	Yes	-

For Aravali Securities & Finance Limited

Anuradha Rawat
 Anuradha Rawat
 Company Secretary

