

Quarterly Compliance Report on Corporate Governance

Name of the Company : Aravali Securities & Finance Limited
 Quarter ending on : 30th September, 2014

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
I. Board of Directors	49(I)		
(A) Composition of Board	49(I)(A)	Yes	-
(B) Non-executive Directors' Compensation & Disclosures	49(I)(B)	Yes	-
(C) Other Provisions as to Board and Committees	49(I)(C)	Yes	-
(D) Code of Conduct	49(I)(D)	Yes	-
II. Audit Committee	49(II)		
(A) Qualified & Independent Audit Committee	49(II)(A)	Yes	-
(B) Meeting of Audit Committee	49(II)(B)	Yes	-
(C) Powers of Audit Committee	49(II)(C)	Yes	-
(D) Role of Audit Committee	49(II)(D)	Yes	-
(E) Review of Information by Audit Committee	49(II)(E)	Yes	-
III. Subsidiary Companies	49(III)	N.A.	-
IV. Disclosures	49(IV)		
(A) Basis of Related Party Transactions	49(IV)(A)	Yes	Disclosed in the Annual Report (2013-14)
(B) Disclosure of Accounting Treatment	49(IV)(B)	Yes	-
(C) Board Disclosures	49(IV)(C)	Yes	-
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	49(IV)(D)	N.A.	-
(E) Remuneration of Directors	49(IV)(E)	Yes	Disclosed in the Annual Report (2013-14)
(F) Management	49(IV)(F)	Yes	Disclosed in the Annual Report (2013-14)
(G) Shareholders	49(IV)(G)	Yes	Disclosed in the Annual Report (2013-14)
V. CEO/CFO Certification	49(V)	Yes	-
VI. Report on Corporate Governance	49(VI)	Yes	Disclosed in the Annual Report (2013-14)
VII. Compliance	49(VII)	Yes	-

For Aravali Securities & Finance Limited

Anuradha Rawat
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 Company Secretary

