

**General information about company**

Scrip code	512344
Name of the entity	Aravali Securities and Finance Limited
Date of start of financial year	01-04-2017
Date of end of financial year	31-03-2018
Reporting Quarter	Half Yearly
Date of Report	30-09-2017
Risk management committee	Not Applicable

## Annexure I

### Annexure I to be submitted by listed entity on quarterly basis

#### I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Is there any change in information of board of directors compare to previous quarter

Yes

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	◆◆◆◆◆Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	◆◆◆◆◆No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
1	Mr	Ranjan Kumar Poddar	AAAPP1204M	00290949	Executive Director	Chairperson	MD	06-09-2012			1	1	0	
2	Mr	Devashish Poddar	AAAPP1246P	00457349	Non-Executive - Non Independent Director	Not Applicable		13-05-2010			1	1	1	
3	Mrs	Malvika Poddar	AKPPP5761J	00457245	Non-Executive - Non Independent Director	Not Applicable		13-08-2014			1	1	0	
4	Mr	Naresh Birla	AFWPB1656E	02902650	Non-Executive - Independent Director	Not Applicable		30-09-2014		60	1	2	1	

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#### I. Composition of Board of Directors

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
5	Mr	Ashok Kumar Sharma	ARGPS3565B	03558843	Non-Executive - Independent Director	Not Applicable		30-09-2014		60	1	1	0	
6	Mr	Suresh Kumar Lakhotia	AAZPL8239R	00450723	Non-Executive - Independent Director	Not Applicable		29-06-2015		60	1	2	0	

**Annexure 1****II. Composition of Committees**

Disclosure of notes on composition of committees explanatory

Is there any change in information of committees compare to previous quarter

Yes

Sr	Name Of Committee	Name of Committee members	Category 1 of directors	Category 2 of directors	Name of other committee
1	Audit Committee	Mr. Naresh Birla	Non-Executive - Independent Director	Chairperson	
2	Audit Committee	Mr. Ranjan Kumar Poddar	Executive Director	Member	
3	Audit Committee	Mr. Ashok Kumar Sharma	Non-Executive - Independent Director	Member	
4	Audit Committee	Mr. Suresh Kumar Lakhotia	Non-Executive - Independent Director	Member	
5	Stakeholders Relationship Committee	Mr. Devashish Poddar	Non-Executive - Non Independent Director	Chairperson	
6	Stakeholders Relationship Committee	Mrs. Malvika Poddar	Non-Executive - Non Independent Director	Member	
7	Stakeholders Relationship Committee	Mr. Naresh Birla	Non-Executive - Independent Director	Member	
8	Stakeholders Relationship Committee	Mr. Suresh Kumar Lakhotia	Non-Executive - Independent Director	Member	
9	Nomination and remuneration committee	Mr. Ashok Kumar Sharma	Non-Executive - Independent Director	Chairperson	
10	Nomination and remuneration committee	Mr. Devashish Poddar	Non-Executive - Non Independent Director	Member	

**Annexure 1****II. Composition of Committees**

Sr	Name Of Committee	Name of Committee members	Category 1 of directors	Category 2 of directors	Name of other committee
11	Nomination and remuneration committee	Mr. Naresh Birla	Non-Executive - Independent Director	Member	

**Annexure 1**

**Annexure 1**

**III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory			
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	29-05-2017		
2		11-08-2017	73
3		27-09-2017	46

**Annexure 1****IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory

Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee	11-08-2017	Yes		29-05-2017	73	
2	Nomination and remuneration committee	11-08-2017	Yes		29-05-2017	73	

**Annexure 1**

**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is <b>No</b> details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	



**Annexure 1****VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

**Annexure III****Annexure III to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year****I. Affirmations**

Sr	Broad heading	Regulation Number	Compliance status (Yes/No/NA)	If status is <input type="checkbox"/> No <input type="checkbox"/> details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Whether <input type="checkbox"/> Corporate Governance Report <input type="checkbox"/> disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

**Signatory Details**

Name of signatory	Mohit Kaushik
Designation of person	Company Secretary
Place	Gurgaon
Date	11-10-2017

