

Introductory sub-table (I)(a)

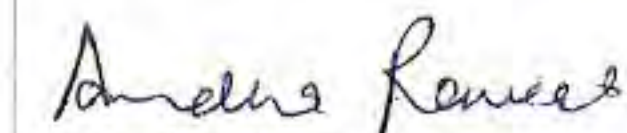
Name of the Company: ARAVALI SECURITIES & FINANCE LIMITED

Scrip Code, Name of the scrip, class of security: 512344

Quarter ended: 31.12.2013

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Total paid-up capital of the Company, assuming full conversion of warrants and convertible securities	15153850		

For Aravali Securities & Finance Limited



Anuradha Rawat

Company Secretary & Compliance Officer



Statement Showing Shareholding Pattern

Table (I)(a)

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	3	4759352	4759352	31.41	31.41	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)								
	Sub Total(A)(1)	3	4759352	4759352	31.41	31.41	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	1	1372511	1372511	9.06	9.06	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	1	1372511	1372511	9.06	9.06	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	4	6131863	6131863	40.46	40.46	0	0.00

For Aravali Securities & Finance Limited

Anuradha Rawat
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 Company Secretary & Compliance Officer



(B)	Public shareholding								
1	Institutions								
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00	
(b)	Financial Institutions / Banks	9	13205	8705	0.09	0.09	0	0.00	
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00	
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00	
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00	
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00	
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00	
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	
(i)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00	
	Sub-Total (B)(1)	9	13205	8705	0.09	0.09	0	0.00	
(B)									
2	Non-institutions								
(a)	Bodies Corporate	187	1858839	1836143	12.27	12.27	0	0.00	
(b)	Individuals				0.00				
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	16082	4239826	1895340	27.98	27.98	0	0.00	
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	52	2710149	2556934	17.88	17.88	0	0.00	
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	
(d)	Any Other (specify)				0.00		0	0.00	
(d-i)	NRI (Rept & Non -Rept)	19	37900	37900	0.25	0.25	0	0.00	
(d-ii)	Hindu Undivided Family	90	160533	160533	1.06	1.06	0	0.00	
(d-iii)	Clearing Members	2	1535	1535	0.01	0.01	0	0.00	
	Sub-Total (B)(2)	16432	9008782	6488385	59.45	59.45	0	0.00	
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	16441	9021987	6497090	59.54	59.54	0	0.00	
	TOTAL (A)+(B)	16445	15153850	12628953	100.00	100.00	0	0.00	
(C)	Shares held by Custodians and against which Depository Receipts have been issued								
1	Promoter and Promoter Group	0	0	0	0.00	0.00	0	0.00	
2	Public	0	0	0	0.00	0.00	0	0.00	
	Sub-Total (C)	0	0	0	0	0	0	0.00	
	GRAND TOTAL (A)+(B)+(C)	16445	15153850	12628953	100.00	100.00	0	0.00	

For Aravali Securities & Finance Limited

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Anuradha Rawat
Company Secretary & Compliance Officer



(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Devashish Poddar (HUF)	400000	2.64	0	0.00	0.00	0	0	0	0	0
2	Anupam Poddar	652260	4.30	0	0.00	0.00	0	0	0	0	0
3	Devashish Poddar	3707092	24.46	0	0.00	0.00	0	0	0	0	0
4	C.L.Poddar	1372511	9.06	0	0.00	0.00	0	0	0	0	0
TOTAL		6131863	40.46	0	0.00	0.00	0	0	0	0	0

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

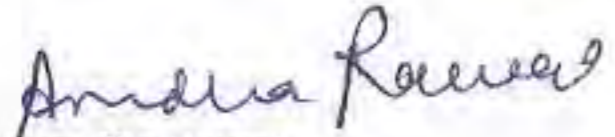
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(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	RITA SACHDEVA	748000	4.94	0	0	0	0	0
2	BISHWANATH TRADERS AND INVESTMENT LTD.	515479	3.40	0	0	0	0	0
3	PAULASTYA SACHDEV	280000	1.85	0	0	0	0	0
4	LE ROY SECURITIES PVT. LTD.	225000	1.48	0	0	0	0	0
5	FRR SHARES AND SECURITIES LTD.	218756	1.44	0	0	0	0	0
6	NUPUR SHARMA	200000	1.32	0	0	0	0	0
7	INDER MOHAN SHARMA	200000	1.32	0	0	0	0	0
8	ATUL GOEL	170000	1.12	0	0	0	0	0
TOTAL		2557235	16.87	0	0	0	0	0

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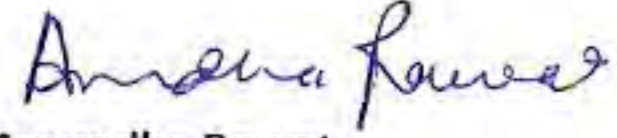

(I)(c)(ii) <u>Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company</u>								
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL	0	0.00	0	0	0	0	0
2	NIL	0	0.00	0	0	0	0	0
TOTAL		0	0.00	0	0	0	0	0

For Aravali Securities & Finance Limited

Anuradha Rawat

Anuradha Rawat
Company Secretary & Compliance Officer




(I)(d)		Statement showing details of locked-in shares	
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0.00
2	NIL	0	0.00
TOTAL		0	0.00
For Aravali Securities & Finance Limited			
 Anuradha Rawat Company Secretary & Compliance Officer			

(II)(a) <u>Statement showing details of Depository Receipts (DRs)</u>				
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
2	NIL	0	0	0.00
TOTAL		0	0	0.00

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Company Secretary & Compliance Officer



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
2	NIL	0	0	0.00
TOTAL		0	0	0.00

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